



						
	UMENTATIO	N PAGE				pproved o 0704-0188
		16 RESTRICTIVE	MARKINGS			
AD-A233 089		3 DISTRIBUTION	AVAILABILITY OF	REPOR	Τ ,,	
. LU DECEMBATICATIONS DOSTINGINADING SCHEDULE.		Aporoved unlimited	for public	rele	ase; di	stribution
Unclassified						
4 PERFORMING ORGANIZATION REPORT NUMBER(S) N00014-89-J-1237		5 MONITORING	ORGANIZATION REI	POR! N	(S)	
	OFFICE SYMBOL	72 NAME OF M	NITORING ORGAN	73.50		
Colorado State University	(If applicable)	7 a. NAINE OF WIL	MITORING ORGAN	·240	N.	
6c. ADDRESS (City, State, and ZIP Code)		76 ADDRESS (CI	y, State and ZIP Co	ode)		
Department of Chemistry Fort Collins, CO 80523						
	OFFICE SYMBOL (If applicable)	9 PROCUREMEN	T INSTRUMENT IDE	NTIFICA	AUV NOITA	1867
Office of Naval Research	(ii applicable)	N00014	-89-J-1237	7		
8c. ADDRESS (City, State, and ZIP Code)			UNDING NUMBERS			
800 North Quincy Street Arlington, VA 22217-5000		PROGRAM ELEMENT NO	PROJECT NO	TASK NO		WORK UNIT ACCESSION NO
2-Propenylphenol and 2-Propylphenol and Ammonia PERSONAL AUTHOR(S) S. K. Kim, S. C. Hsu, S. Li, E.	enol and the	ir van der V	Waals Cluste	rs w	ith Wat	eer
13a TYPE OF REPORT 13b TIME COVE			RT (Year, Month, L	Day)	15 PAGE (OUN*
Technical Report FROM	то	March 7	, 1991			
17 COSATI CODES	s subject terms of cited state	(Continue on rever	se if necessary and	identi	fy by block ecular	number)
FIELD GROUP SUB-GROUP ex	ansfer, 2-al	lyphenol, 2	-propenylphe	nol,	2-pro	pylphenol,
mas mas	s resolved e	excitation,	threshold ph	oto-	ioniza	tion,
19 ABSTRACT (Continue on reverse if necessary and di	spersed emis	ssion, inter	molecular pr	otor	trans	fer, 2-allyl a
SEE ATTACHED ABSTRACT 2-	propenyl-phe propylphenol	enol(NH3) _n ,	dispersed en	บรรา	on spe	ctra or
	broblibueno	L(MI3)n				
But A Sec						
FEL ÉCTÉ SA						
S ELECTE NAME OF THE PART OF T						
MAR 2 0 1391			ECURITY CLASSIFIC	<u>a</u> TiON		
S MAR 20 1991	□ DTIC USERS	Unclassi			OFFICE SY	∵ 35.

DD Form 1473, JUN 86

Previous editions are obsolete. S/N 0102-LF-014-6603 SECURITY CLASS FICATION OF THIS PAIR

OFFICE OF NAVAL RESEARCH Contract N00014-89-J-1237 TECHNICAL REPORT #71

Excited State Proton Transfer in the S₁ State of 2-Allylphenol, 2-Propenylphenol and 2-Propylphenol and their van der Waals Clusters with Water and Ammonia

S. K. Kim, S. C. Hsu, S. Li, E. R. Bernstein

Submitted to the JOURNAL OF CHEMICAL PHYSICS

Chemistry Department
Colorado State University
Fort Collins, CO

March 7, 1991

Accesion (A)

NTIS CROST
DITIC TAS
Unannounced J
Justification

By
Dist ibution /

Availabits (A) as
Dist | Availabits (B) as
Expected | A-1

Reproduction in whole or in part is permitted for any purpose of the United States Government.

This document has been approved for public release and sale; its distribution is unlimited.

ABSTRACT

Excited state intramolecular and intermolecular proton transfer reactions in cold, isolated 2allylphenol, 2-propenylphenol and 2-propylphenol and their clusters with water and ammonia are investigated employing a combination of spectroscopic techniques (mass resolved excitation. threshold photo-ionization, dispersed emission), a semi-empirical MNDO calculation (MOPAC 5) and a potential energy calculation of cluster structure. Threshold photo-ionization spectroscopy proves to be useful for the identification of molecular conformers in these systems but has mixed results for the identification of proton transfer in their clusters. The total collection of generated data suggests the following conclusions: 1. isolated, cold 2-allylphenol displays only one conformation which appears to have a significant stabilizing intramolecular interaction between the allyl group double bond and the hydroxyl group hydrogen atom; 2. 2-propenylphenol displays only one conformer; 3. 2-propylphenol has many conformations - probably more than five under the experimental conditions; 4. no evidence of intramolecular proton transfer can be found for these three isolated cold molecules: 5. no evidence for intermolecular proton transfer in water clusters has been found by any of the above techniques; and 6. evidence is found for intermolecular proton transfer in 2-allyl- and 2-propenyl-phenol(NH_3)_n, $n \ge 3$, in dispersed emission spectra. Dispersed emission spectra of 2-propylphenol $(NH_3)_n$ $n \ge 3$ are too weak to yield conclusive evidence for intermolecular excited state proton transfer. Potential energy minimization calculations of cluster geometry suggest that the difference between water and ammonia cluster behavior with regard to proton transfer arises because water molecules hydrogen bond with the hydroxyl group (both OH ··· OH, and HO ···· HOH) and each other while ammonia molecules are more evenly distributed over the entire molecular structure of the phenol moiety. Apparently, for efficient proton transfer to occur in clusters the proton affinity of the solvent must be large and both the anion and the proton must be well solvated (stabilized) by the solvent.

I. INTRODUCTION

The study of chemical reactions in cold, isolated van der Waals clusters has begun to make important contributions to the understanding of reaction mechanisms and dynamics¹. Since cluster size and to some extent cluster structures can be controlled and/or selectively accessed in isolated clusters, the process of solvation and the effects of solvation on chemical reactions can be readily accessed in clusters.

A particularly interesting and apparently straightforward reaction for study in clusters is the elementary acid-base proton transfer reaction. Molecules which possess an aromatic hydroxyl group are attractive candidates for these reactions because they undergo large changes in pK_a upon photo-excitation from the ground (S_0) to the first excited singlet (S_1) state. For example, a Förster cycle² calculation for phenol gives $pK_a(S_0) \sim 10.0$ and $pK_a(S_1) \sim 3.6.^{3.4}$ Substitution of halo, allyl and alkoxy groups on the phenol yield little change for these pK_a values while nitrosubstituted phenols evidence increased acidity changes upon photo-excitation.³

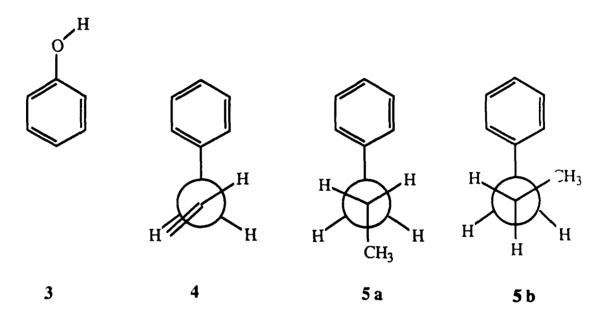
In ortho-substituted phenols both intra- and inter- molecular proton transfer can take place upon solution phase photo-excitation: 2-allylphenol (1a) provides a good example of such behavior. 2-allylphenol is known to photo-isomerize to generate cyclic ethers (1b, c) in non-polar solutions⁵⁻⁷ as shown in Scheme I. The reaction is suggested to proceed via an intramolecular proton transfer between the hydroxyl hydrogen and the allyl group double bond.

This photo-induced intramolecular proton transfer is suppressed in protic solvents:⁶ intermolecular proton transfer prevails in protic solutions. If the solvent proton affinity could be carefully tuned or controlled, intra- and inter- molecular transfer might both be observed. While this possibility has not been realized in liquid solvation, the two different photo-induced proton transfer reactions might coexist under isolated conditions for appropriately structured clusters. Will 2-allylphenol undergo intra- or inter- molecular proton transfer in water and ammonia clusters? Can one determine if the transfer is intra- or inter- molecular?

The interaction between the phenol hydroxyl group and the 2-substituent on the ring, and thus the probability of intramolecular proton transfer, can be varied by changing the nature of the 2-substituent. Thus, 2-propenyl and 2-propylphenol can be investigated along with 2-allylphenol. In solution, no cyclization reaction is found for 2-propylphenol (2a) and 2-propenylphenol (2b, 2c) undergoes cyclization (see Scheme I) only in the presence of a palladium catalyst⁸. The series of molecules 1a, 2a, b, c thus should allow one to distinguish between intra- and intermolecular proton transfer in van der Waals clusters under controlled solvation conditions.

The above 2-substituted phenols can be expected to exist in a number of different molecular conformations when cooled in a supersonic expansion: solute/solvent van der Waals clusters of a given mass containing these species will display multiple conformations, as well. Thus, intra- and inter- molecular proton transfer can be investigated as a function of molecular geometry, cluster geometry and number of solvent molecules present in the cluster.

The conformations of the related aromatic molecules containing only single substituents are already determined: 1. the OH group in phenol (3) is contained in the plan of the aromatic ring;⁹ 2. the allyl group of allylbenzene (4) has the C_{α} - C_{β} bond perpendicular to the plane of the aromatic ring and the allyl group double bond is eclipsed with one of the C_{α} - H bonds;¹⁰ 3. the entire heavy atom structure is planar for propenylbenzene (β -methylstyrene);¹¹ and 4. the propyl group in propylbenzene (5) has the C_{α} - C_{β} bond perpendicular to the plane of the aromatic ring and the C_{β} - C_{γ} bond can be both anti and gauche to the ring.¹² These structures are expected to be altered to some degree in 2-substituted phenols due to steric and hydrogen bonding interactions.



Supersonic jet spectroscopy of molecules with multiple conformations becomes somewhat more complicated than usual. A number of techniques can be employed to distinguish different conformers of molecules from low lying vibronic features: 1. isotopic substitution will often yield large effects on vibronic features (e.g., shifts of greater than 5% in S_1 vibrations) but only small changes in conformer origins; ¹³ 2. hole-burning experiments can be used to identify features (O_0^0 , X_0^1 , etc.) common to a particular conformer; ¹⁴ and 3. ionization threshold measurements can demonstrate that conformers of a given molecule can have somewhat different ionization energies. ¹⁵

The latter technique of threshold photo-ionization can also be important for cluster studies and the identification of chemical reactions in clusters. ¹⁶⁻¹⁸ Clusters of different structures can have different ionization energies (e.g., (pyrazine)₂, (pyrimidine)₂, etc., ¹⁹ and naphthol/H₂O and NH₃¹⁸). Clusters which have undergone proton transfer (e.g., naphthol/NH₃) can also have a dramatically reduced ionization energy. ¹⁶⁻¹⁸

This paper reports five separate studies on the 2-allyl-, 2-propenyl-, and 2-propyl- phenol molecules and their clusters with ammonia and water: mass resolved excitation spectroscopy; dispersed emission spectroscopy; threshold photo-ionization spectroscopy; semi-empirical MNDO calculation (MOPAC 5) of molecular structure; and cluster potential energy minimization calculations. Based on these results we determine that only intermolecular excited state (S_1) proton transfer occurs for solute $(NH_3)_n$, $n \ge 3$ clusters.

II. EXPERIMENTAL PROCEDURES

A more detailed description of the supersonic jet apparatus and time of flight mass spectrometer employed in this effort can be found in earlier publications from our laboratory. One-color mass resolved excitation spectroscopy (MRES) provides only crowded spectra for the samples used in this study probably due to extensive fragmentation from clusters of these molecules with impurity water. The ionization wavelength for two-color MRES is chosen to be low enough such that no cluster fragmentation is observed.

Threshold photo-ionization spectra are obtained with the $S_1 \leftarrow S_0$ excitation energy (v_{ex}) fixed for a particular transition and the $I \leftarrow S_1$ ionization energy (v_{ion}) scanned. The mass spectrometer extraction field for the created ions is ~ 100 V/cm. The threshold photo-ionization value reported for the isolated molecules is obtained as follows: the spectrum is smoothed with Fourier transform filtering and the wavelength for the maximum signal derivative is found. The FWHM of the derivative function is reported as the width of the onset. Cluster signal intensities are smaller and the threshold spectra are quite broad: for these signals the detected onset of the ionization signal is reported for the threshold value.

2-allylphenol, 2-propenylphenol and 2-propylphenol are purchased from Aldrich Co. These samples are quite hydroscopic and contain ca. 2% water as an impurity. Two-color mass resolved excitation spectra of these species are not influenced by this difficulty: a problem, of course, arises for dispersed emission studies which are not mass selective. Samples are dried in vacuum over P₂O₅. Even for dried 2-propylphenol, the dispersed emission spectrum contains mostly 2-propylphenol/H₂O features. We are not able to obtain dispersed emission spectra of 2-propylphenol or 2-propylphenol/ammonia clusters free of water cluster contamination. The dispersed emission spectra for 2-allyl- and 2-propenyl- phenols and their ammonia clusters can be obtained free of interference from water cluster features.

The sample of 2-propenylphenol contains 80% anti- (2c) and 20% (2b) syn-isomers as determined by ¹³C- and ¹H-NMR spectroscopy.

Samples are placed in the head of a pulsed nozzle and heated to ca. 40°C. 50 psi. He is typically employed as the expansion gas.

Fluorescence from these samples is weak so dispersed emission spectra are taken with UV cut-off filters placed in front of a photo-multiplier tube detector. The filters used are Hoya UV22, UV28, UV30, UV32, UV34, UV36, L38, and L40. This technique provides ca. 20 nm resolution dispersed emission spectra. The figure caption for the dispersed emission spectra of these systems contains transmission information for the filters.

Geometries for the 2-substituted phenols are calculated employing a semi-empirical MNDO algorithm, MOPAC 5 using PM3 and AM1 Hamiltonians.²¹ Both calculations give the same results and thus only the PM3 results are reported herein. Starting geometries are varied to locate all minima on the potential surface for both the hydroxyl group and the hydrocarbon side chain. Stringent convergency criteria (GNORM = 0.01) still yield many minima for each molecule.

Cluster structures are also calculated employing the MOPAC 5 charges and minimum energy molecular geometries and a cluster energy minimization routine previously described.²²

III. RESULTS

- A. 2-Allylphenol
- 1. MRES and threshold photo-ionization of the isolated molecule

Two-color MRES of the 2-allylphenol $S_1 \leftarrow S_0$ transition origin region is shown in Figure 1a. The lowest energy intense feature is at 36029 cm⁻¹ (peak A) and is assigned as a 0_0^0 transition for a conformer of 2-allylphenol. This origin has a 50 cm⁻¹ harmonic progression built on it. Figure 1b demonstrates that this 50 cm⁻¹ progression built on the 2-allylphenol origin must be due to motion of the allyl group because deuteration of the hydroxyl hydrogen does not change the progression spacing. Features labeled B and C in Figure 1 can be associated with low energy ring modes. No other electronic origins (conformers) have been identified for 2-allylphenol.

The I \leftarrow S₁ photo-ionization spectrum for the peak A has a sharp ($\Delta v_{ion} = 22 \text{ cm}^{-1}$) onset at $v_{ion} = 29662 \pm 4 \text{ cm}^{-1}$ (Figure 2). These observations and assignments are summarized in Table I.

2. MRES and threshold photo-ionization of water and ammonia clusters

Two-color MRES are displayed in Figure 3 for 2-allylphenol(H_2O)_{1,2}. The four different spectral regions displayed in Figure 3a can probably be associated with 2-allylphenol(H_2O)₁ clusters of different structure. This point is best made by the threshold photo-ionization data presented in Table II. Most of the vibronic structure displayed in these spectra is due to allyl group motion: expansion of 2-allylphenol with D_2O does not change any of the observed spectra. The 2-allylphenol(H_2O)₂ spectra displayed in Figure 3b are much simpler and show less structural diversity than do those of the 1:1 cluster. This observation will be rationalized later in light of cluster structure calculations and through comparison with results for 1-naphthol/water and ammonia clusters. ¹⁸

The 2-allylphenol(H_2O)₁ cluster absorbing ca. 35,000 cm⁻¹ (Figure 3a, top panel) undergoes almost 99% fragmentation to 2-allylphenol⁺ and H_2O at the ionization energy employed ($v_{ion} = 30,650 \, \text{cm}^{-1}$). The extensive fragmentation is observed until v_{ion} is lowered to 28,608 cm⁻¹ (lower than the bare molecule threshold).

Unlike the 2-allylphenol/water system for which intense cluster spectra are observed, 2-allylphenol/ammonia clusters yield only very weak one-color MRES and two-color MRES are too

weak to record. One-color MRES are very broad due to extensive fragmentation. We believe the reason ammonia cluster spectra are so weak is that most of the 2-allylphenol is clustered to impurity water. The water/allylphenol cluster binding energy is roughly twice that of ammonia/allylphenol.

3. Dispersed Emission Spectroscopy

Dispersed emission spectra of 2-allylphenol and its clusters with ammonia are presented in Figure 4. The dispersed emission from 2-allylphenol/water clusters is indistinguishable from that of the "bare molecule" (including, of course, a water contamination, since no mass resolution is employed). The dispersed emission from 2-allylphenol(NH₃)_n is shifted to lower energy: this red shift is usually indicative of an intermolecular excited state proton transfer. The shift in intensity in this instance is small because concentration of 2-allylphenol/ammonia clusters is small as shown above by one- and two- color MRES. The suggestion here is that the transfer is inter- and not intra- molecular because the red shifted emission is with respect to both bare molecule and water clusters. The more conclusive evidence with respect to these two possible proton transfer reactions will be provided through comparison between the behavior of the three different chromophores.

4. MOPAC 5 Calculations of Bare Molecule Structure

MOPAC 5 calculations for 2-allylphenol are presented in Table III and Figure 5. Fifteen locally stable conformations are calculated for this molecule. The three most stable conformations are shown in Figure 5. The single one observed probably corresponds to the lowest energy calculated structure. Interestingly, the syn-conformers ($\tau_3 \sim 180^\circ$) tend in general to be more stable than the anti-conformers ($\tau_3 \sim 0^\circ$). The more stable of the calculated conformers have an interaction between the hydroxyl hydrogen and the allyl group double bond.

5. Potential Energy Calculations of Cluster Structure

Calculation of 2-allylphenol/water and ammonia water cluster structures is not very reliable because the 2-allylphenol structure is not varied in the clustering process. Nonetheless, through comparison with results of phenol²³ and naphthol¹⁸ clustered with water and ammonia, some general remarks can be made. First, most of the clustering takes place at the hydroxyl-allyl site.

Second, water molecules tend to bind to one another away from the allylphenol ring and side chain sites. Third, ammonia has a much more distributed geometry about the allylphenol because the ammonia-ammonia interaction (ca. 500 cm⁻¹) is smaller than the ammonia-allylphenol interaction (ca. 900 cm⁻¹). Fourth, detailed solute/solvent arrangements are dependent on the solute conformation chosen for clustering. Fifth, the solvent seems to position itself in many cluster configurations in such a fashion as to suggest that the original 2-allylphenol conformer structure would be altered to some extent by the solute/solvent interaction. And sixth, substantial hydrogen bonding takes place between the hydroxyl group and water in which the two possible hydrogen bonding configurations (OH···OH₂ and HOH···OH) are of roughly similar (ca. 1600 cm⁻¹) energy.

B. 2-Propenylphenol

1. MRES and Threshold Photo-ionization of the Bare Molecule

The two-color MRES of 2-propenylphenol near the origin of the $S_1 \leftarrow S_0$ transition is shown in Figure 6. The feature at 32,725 cm⁻¹ (A) is the lowest energy and most intense spectral feature and is assigned as the 0_0^0 transition for the anti-isomer (2c). Peaks marked B, C and E in Figure 6 are vibrations built on the A origin as can be seen from the threshold photo-ionization spectra presented in Figure 7. The sharp onset and large shift in ionization energy for peak D (33,491 cm⁻¹) suggest that D is the 0_0^0 transition for the syn-isomer (2b). Table IV presents the threshold photo-ionization energies for these features: note that the values are the same for features A and D. The assignment of feature D is thus somewhat in question and data for the clusters can be employed to assist in the determination of the nature (i.e., vibronic feature associated with the A 0_0^0 transition, origin I, or 0_0^0 of the syn-isomer 2b).

MRES and Threshold Photo-ionization of Water and Ammonia Clusters

The two-color MRES of 2-propenylphenol/water and ammonia clusters are presented in Figure 8. The 1:1 cluster spectra are shifted from the isolated molecule spectra by -442 and -750 cm⁻¹, respectively. These two clusters preserve the isolated molecule vibronic structure and Franck-Condon factors.

In the 2-propenylphenol(H_2O)₁ and $(NH_3)_1$ cluster spectra, peak D (see Figure 6) is pronounced and unshifted (ca. $0_0^0 + 768 \text{ cm}^{-1}$): this suggests that this feature (D in Figure 6) is vibronic in nature and not the syn-2-propenylphenol as given in **2b**.

2-propenylphenol $(H_2O)_2$ and $(NH_3)_3$ spectra are broad and featureless as are spectra of higher order clusters in these series.

The threshold photo-ionization values for these clusters are given in Table II. The red shifts of the threshold photo-ionization values for water clusters appear to reflect only the binding energy differences between the cluster ground and excited states: no large, unexpected changes can be identified that would signify the onset of proton transfer. The behavior of 2-propenylphenol/ammonia clusters is quite similar. For comparison, the ionization energies for indole(H_2O)₁, indole(NH_3)_{1,2}, for which no proton transfer takes place, are shifted from the isolated indole molecule threshold photo-ionization energy by -3027, -4152, -4529 cm⁻¹, respectively. One cannot determine if the ~ 600 cm⁻¹ red shift of the ionization threshold between 2-propenylphenol(NH_3)₂ and NH_3 is due to proton transfer or simply the "usual" binding energy differences for the solvated ions and ground states. The NH_3 to NH_3 shift is comparable and cannot be employed to suggest proton transfer.

3. Dispersed Emission

The dispersed emission spectra of 2-propenylphenol and 2-propenylphenol(NH_3)_n are displayed in Figures 4c, d. The water cluster spectra are identical to the bare molecular emission spectrum. The large red shifted emission intensity for the ammonia clusters strongly suggests intermolecular proton transfer for both 2-allylphenol and 2-propenylphenol(NH_3)_n, $n \ge 3$, because (solution phase) intramolecular proton transfer does not take place in the latter system. No red shifted emission is found by exciting (NH_3)₁ (31,972 cm⁻¹) and (NH_3)₂ (31,846 cm⁻¹) clusters of 2-propenylphenol.

4. MOPAC 5 Calculation of Isolated Molecule Structure

MOPAC 5 PM3 calculation results for 2-propenylphenol are presented in Table V and Figure 9. For both the syn- and anti- conformers, the $\tau_3 \sim 180^\circ$ geometry is more stable than the

 $\tau_3 \sim 0^{\circ}$ geometry (see Table V for angle definitions). The anti, $\tau_3 \sim 180^{\circ}$, $\tau_1 \sim 0^{\circ}$ conformer (see Figure 9) is the most stable and probably the only one observed in the spectrum. Here too, interaction between the double bond and the hydroxyl group hydrogen is indicated.

5. Potential Energy Calculations of Cluster Structure

The results of these cluster calculations are similar to those reported allylphenol/water and ammonia. Hydrogen bonding is stronger for water clusters than ammonia clusters. Both OH ... OH₂ and HOH ... OH bonding structures are obtained with the latter being ca. 300 cm⁻¹ more tightly bound. The general findings parallel those described above for 2-allylphenol clusters.

- C. 2-Propylphenol
- 1. MRES and Threshold Photo-ionization of the Bare Molecule

2. MRES and Threshold Photo-ionization of Water and Ammonia Clusters

The two-color MRES spectra of 2-propylphenol(H_2O)_{1,2} clusters are presented in Figures 12a and b. Only one-color MRES are obtained for $(NH_3)_n$ clusters due to their low concentration in the expansion. Due to the large number of possible 2-propylphenol molecule conformers, many cluster conformations are possible for each specific cluster mass. 2-Propylphenol(H_2O)_n, n = 1,2, threshold photo-ionization data are presented in Table II. Ammonia cluster spectra are too weak to generate meaningful results for threshold photo-ionization.

3. Dispersed Emission

Almost all emission observed from 2-propylphenol samples, whether expanded with ammonia or not, comes from 2-propylphenol(H_2O)_n. Vacuum drying of the sample did not seem to change this significantly. Some changes in the red emission with high concentrations of ammonia may be present but they are difficult to quantify. The impurity water/2-propylphenol ratio is probably 1:1 and water binds to the phenol to exclude other solvents (binding energy for $H_2O \sim 1600 \text{ cm}^{-1}$ and for $NH_3 \sim 800 \text{ cm}^{-1}$).

4. MOPAC 5 Calculations of Molecular Structure

Seven different low energy structures are found for the 2-propylphenol system. The calculation still prefers syn- (OH) conformations over anti- (OH) conformations, but the energy differences are now quite small. The potential surface for this molecule is clearly very complex and the molecule is probably quite flexible with regard to hydroxyl and propyl group orientations and displacements.

No cluster detailed calculations are presented for this system. The general conclusions for cluster structure follow from the remarks made for the other two molecules in this study. Binding energies for water are ca. 1500 cm⁻¹ and for ammonia ca. 800 cm⁻¹. Cluster formation can dramatically change and/or interconnect any of the local minima presented in Table VII because the potential energy surface around the local minima is so shallow.

IV. DISCUSSION

A. 2-Allylphenol

Experimental and calculational evidence presented in the last section is consistent with a reasonably strong internal hydrogen bond between the hydroxyl hydrogen and the terminal allyl group double bond (see Figure 5) yielding a low energy single 2-allylphenol molecular conformation. Note that 2-methyl-allylbenzene displays two conformers.^{24,25}

The 50 cm⁻¹ vibronic progression following the origin transition is not related to OH group motion, but to a change in orientation of the allyl group upon electronic ($S_1 \leftarrow S_0$) excitation (see Figure 1). Most likely this change is associated with the large change in pK_a of the hydroxyl

proton upon electronic excitation and the concomitant increase in hydrogen bonding strength between the groups. Such a change is not observed in other allylbenzene species.¹⁰

The ionization potential for 2-allylphenol (65,690 cm $^{-1}$) is not particularly low compared to those for 2-propenyl- and 2-propyl- phenol (63,155 and 66,100 \pm 100 cm $^{-1}$, respectively). Thus intramolecular proton transfer in bare molecule 2-allylphenol is not indicated by these data: a red shifted ionization threshold would be expected for internal proton transfer.

Dispersion emission for bare 2-allylphenol is also not particularly red shifted compared to that of the other species. We conclude that intramolecular proton transfer is absent in the bare 2-allylphenol molecule.

Neither water clusters nor small (n < 3) ammonia clusters show dramatic red shifts for ionization thresholds or $S_1 \rightarrow S_0$ emission: proton transfer (internal or external) does not occur for these systems either.

Red shifted emission is found for 2-allylphenol(NH₃)_n, $n \ge 3$. Since the red shift is similar to that reported for naphthol/ammonia systems^{1d,e} and similar to that for 2-propenylphenol/ammonia clusters (no internal proton transfer identified for 2-propenylphenol even in solution), we conclude that intermolecular excited state proton transfer has occurred in 2-allylphenol (NH₃)_n, $n \ge 3$.

As pointed out above and in a previous publication, ¹⁸ ammonia is much better at solvation of **both** the anion and the proton than is water. The interaction between ammonia and the chromophore is distributed over the entire cluster because ammonia is a (relatively) poor hydrogen bonder and the ammonia/ammonia interaction is only ca. 500 cm⁻¹. Water, on the other hand, hydrogen bonds to the hydroxyl proton and itself (ca. 1500 cm⁻¹) and mostly avoids the remainder of the chromophore in its low energy cluster geometries.

B. 2-Propenylphenol

Calculated geometries for this molecule suggest that the propenyl double bond and the hydroxyl hydrogen interact only weakly. This is consistent with the absence of internal proton transfer in solution and absence of a vibrational progression in either propenyl or hydroxyl motion

following the 0_0^0 transition. All of this is consistent with threshold photo-ionization data: no special red shifts can be identified.

Cluster emission results are consistent with excited state proton transfer for $n \ge 3$ in 2-propenylphenol/ammonia clusters. Again, only the dispersed emission evidences any proton transfer behavior. Comparisons with 2-allylphenol require the transfer in both instances to be intermolecular. Cluster structure calculations generate a reasonable explanation for the difference in behavior between water and ammonia clusters. Intermolecular proton transfer in the excited state is favored if both ions can be well solvated, as must happen in any event in solution due to solvent crowding and packing.

Threshold photo-ionization energies in this cluster system do not appear to change dramatically, over and above the general clustering trends, for $n \ge 3$ ammonia clusters; however, emission data for these clusters indicate intermolecular proton transfer has occurred. In general, one must employ a member of detection techniques to identify chemical reactions in clusters.

C. 2-Propylphenol

Cold, isolated 2-propylphenol can have many conformations: calculations of molecular structure also yield a number of nearly equivalent (e.g., 500 cm^{-1}) local energy minima on the ground state potential surface. Interactions between the propyl moiety and the hydroxyl group seem to be favored even though no side chain π - system is present.

Experimental difficulties with water contamination make ammonia clusters of 2-propylphenol difficult to detect but weak emission data suggest that proton transfer can occur for the larger ($n \ge 3$) ammonia clusters of 2-propylphenol.

VI. CONCLUSIONS

Based on two-color mass resolved excitation, dispersed emission, and threshold photo-ionization spectroscopies and semi-empirical MNDO and cluster potential energy calculations, for 2-allyl, 2-propenyl-, and 2-propyl- phenol and their clusters with water and ammonia, we can conclude the following:

- 1. no intramolecular proton transfer occurs in any (isolated molecule or cluster) of these systems;
 - 2. no intermolecular proton transfer occurs for clusters with $(H_2O)_n$ or $(NH_3)_{1,2}$;
- 3. intermolecular excited state proton transfer occurs for larger clusters ($n \ge 3$) of ammonia with 2-allyl- and 2-propenyl- phenol;
- 4. intermolecular excited state proton transfer may occur for 2-propylphenol $(NH_3)_n$ $n \ge 3$, but impurity water clustering makes this determination less certain than for the other chromophore species;
- 5. in all three of these species the isolated molecules appear to have a significant interaction between the hydrocarbon side chain and the hydroxyl group hydrogen;
- 6. if this latter "hydrogen bonding" interaction is strong (e.g., 2-allyl- and 2-propenyl-phenol), only one molecular conformer is present in the expansion, but if it is weak (e.g., 2-propylphenol), the molecular potential energy surface has many accessible nearly equivalent energy minima;
- 7. for excited state proton transfer to occur a combination of adequate ion solvation (both proton and anion) and good solvent proton affinity is required; and
- 8. cluster chemical reactions may be detected by dispersed emission, threshold photo-ionization, and/or two-color mass resolved excitation spectroscopies depending on the system under investigation.¹⁸

Future studies with these systems will include time resolved dispersed emission spectroscopy and time resolved two-color mass detected spectroscopy in order to determine the kinetics and mechanisms for these intermolecular proton transfer reactions.

REFERENCES

- a. N. F. Scherer, C. Sipes, R. B. Bernstein, A. H. Zewail, J. Chem. Phys. 92, 3239 (1990), D. H. Semmes J. S. Baskin, A. H. Zewail, J. Chem. Phys. 92, 3359 (1990) and A. H. Zewail, Science 242, 1645 (1988). References to earlier studies can be found in these three references.
 - b. J. A. Syage, J. Chem. Phys. 92, 1804 (1990) and J. Steadman, J. A. Syage, J. Chem. Phys. 92, 4630 (1990).
 - c. C. Wittig, S. Sharpe, R. H. Beaudet, Acc. Chem. Res. 21, 341 (1988).
 - d. O. Cheshnovski and S. Leutwyler, J. Chem. Phys. 88, 4127 (1988).
 - e. T. Dorz, R. Knochenmuss and S. Leutwyler, J. Chem. Phys. 93, 4520 (1990).
- 2. T. Förster, Chem. Phys. Lett. 17, 309 (1972).
- 3. J. F. Ireland and P. A. H. Wyatt, Adv. Phys. Chem. 12, 131 (1976)
- 4. W. Bartok, P. J. Luccheshi and N. S. Snider, J. Am. Chem. Soc. 84, 1842 (1962).
- 5. G. Frater and H. Schmid, Hel. Chim. Act. **50**, 255 (1967).
- 6. S. Houry, S. Geresh and A. Shani, Israel J. Chem. 11, 805 (1973).
- 7. S. Geresh, O. Levy, Y. Markovits and A. Shani, Tetrahedron 31, 2803 (1975).
- 8. N. R. Davies and A. D. DiMichiel, Aust. J. Chem. 26, 1529, (1973).
- 9. a. H. Abe, N. Mikami, M. Ito, J. Phys. Chem. 86, 1768 (1982).
 - b. H. Abe, N. Mikami, M. Ito, Y. Udagawa, J. Phys. Chem. 86, 2567 (1982) and Chem. Phys. Let. 93, 217 (1982).
 - c. A. Oikawa, H. Abe, N. Mikami, M. Ito, J. Phys. Chem. 87, 5083 (1983); 88, 5180 (1984).
 - d. H. Mizuno, K. Okuyama, T. Ebata, M. Ito, J. Phys. Chem. 91, 5589 (1987).
- 10. P. J. Breen, E. R. Bernstein, J. I. Seeman and H. V. Secor, J. Phys. Chem. 93, 6731 (1989).
- 11. H. S. Im, E. R. Bernstein, J. I. Seeman, J. Chem. Phys., to be published

- J. I. Seeman, H. V. Secor, P. J. Breen, V. H. Grassian and E. R. Bernstein, J. Am. Chem. Soc. 111, 3140 (1989)
- 13. a. R. Nowak, J. A. Menapace and E. R. Bernstein, J. Chem. Phys. 89, 1309 (1988).
 - b. J. A. Warren, E. R. Bernstein and J. I. Seeman, J. Chem. Phys. 88, 871 (1988).
 - v. H. Grassian, E. R. Bernstein, H. V. Secor and J. I. Seeman, J. Phys. Chem.93, 3470 (1989).
 - d. H. S. Im, V. H. Grassian and E. R. Bernstein, J. Phys. Chem. 94, 222 (1990).
 - e. H. S. Im, E. R. Bernstein, J. I. Seeman, and H. V. Secor, J. Am. Chem. Soc., accepted.
 - J. I. Seeman, H. V. Secor, H. S. Im and E. R. Bernstein, J. Am. Chem. Soc.
 112, 7073 (1990).
- 14. R. J. Lipert and S. D. Colson, J. Phys. Chem. 93, 3894 (1989).
- 15. a. M. A. Duncan, T. G. Dietz and R. E. Smalley, J. Chem. Phys. 75, 2118 (1981).
 - b. J. Hager, M. A. Smith and S. C. Wallace, J. Chem. Phys. 83, 4820 (1985); 84, 6771 (1986).
 - c. J. Hager, M. A. Smith and S. C. Wallace, J. Chem. Phys. 89, 3833 (1985).
 - J. Hager, M. Ivanco, M. A. Smith and S. C. Wallace, Chem. Phys. Lett. 113, 503 (1985).
 - e. J. Hager, M. Ivanco, M. A. Smith and S. C. Wallace, Chem. Phys. 105, 397 (1986).
 - f. J. Hager, G. W. Leach, D. R. Demmer and S. C. Wallace, J. Phys. Chem.91, 3750, (1987).
- 16. D. Solgadi, C. Jouvet and A. Tramer, J. Phys. Chem. 92, 3313 (1988).
- 17. C. Jouvet, C. Lardeux-Dedonder, M. Richard-Viard, D. Solgadi and A. Tramer, J. Phys. Chem. 94, 5041 (1990).
- 18. S. K. Kim, S. Li and E. R. Bernstein, J. Chem. Phys. (submitted).

- 19. a. J. Wanna and E. R. Bernstein, J. Chem. Phys. 84, 927 (1986).
 - b. J. Wanna, J. A. Menapace and E. R. Bernstein, J. Chem. Phys. 85, 777 (1986).
 - c. J. Wanna, J. A. Menapace and E. R. Bernstein, J. Chem. Phys. 85, 1795 (1986).
 - d. J. Wanna and E. R. Bernstein, J. Chem. Phys. 85, 3243 (1986).
- 20. E. R. Bernstein, K. Law and M. Schauer, J. Chem. Phys. 80, 207 (1984).
- 21. J. J. P. Stewart, MOPAC, A General Molecular Orbital Package, 5th ed., (1988).
- a. P. J. Breen, J. A. Warren, E. R. Bernstein and J. I. Seeman, J. Chem. Phys.
 87, 1972 (1987).
 - b. J. A. Menapace and E. R. Bernstein, J. Phys. Chem. 91, 2843 (1987).
 - c. M. R. Nimlos, D. F. Kelley and E. R. Bernstein, J. Phys. Chem. 93, 643 (1989).
- 23. S. Li, S. K. Kim, E. R. Bernstein, unpublished results.
- 24. T. Schaefer, R. Sebastian and T. A. Wildman, Can. J. Chem. 57, 3005, (1979).
- 25. M. Oki and H. Iwamura, Bull. Chem. Soc. Jpn. 33, 717 (1960).

 $\label{Table I} \textbf{Results of the excitation and the threshold ionization spectra for 2-allylphenol}$

Excitation Frequency (vex, cm ⁻¹)	$I \leftarrow S_1$ onset (v_{ion}, cm^{-1})	Onset width (cm ⁻¹)	Vex+Vion (cm-1)	Excitation peak Assignment
36029 (peak A)	29662±4	28	65691±4	origin I
36079				origin I + v_a (50cm ⁻¹)
36129				origin $I + 2v_a$
36179				origin I + $3v_a$
36142 (peak B)				origin I + v _b (113 cm ⁻¹)
36192				origin $I + v_a + v_b$
36242				origin I + $2v_a + v_b$
36251 (peak C)				origin I + v_c (222 cm ⁻¹)
36301				origin I + $v_a + v_c$

Table II

Summary of Threshold Ionization of 2-allylphenol, 2-propenylphenol, 2-propylphenol Clusters

Sample	Excitation	$I \leftarrow S_1$	Ionization	Shift of I.P. from
	Frequency	Threshold	Potential (I.P.)	baremolecule
	(v_{ex}, cm^{-1})	(v_{ion}, cm^{-1})	$(v_{ex}+v_{ion}, cm^{-1})$	I.P. (cm ⁻¹)
2-allylphenol	36029	29662±4	65691±4	0
2-allylphenol(H ₂ O) ₁	35873	27268±50	63141±50	-2550
11	35853	26968±50	62821±50	-2870
2 -allylphenol(H_2O) ₂	36006	26968±50	62974±50	-2717
2-propenylphenol	32725	30430±3	63155±3	0
2-propenylphenol(H ₂ O) ₁	32284	27380±50	59664±50	-3491
2-propenylphenol(NH ₃) ₁	31972	26280±50	58252±50	-4903
2-propenylphenol(NH ₃) ₂	31846	26380±50	58226±50	-4929
2-propenylphenol $(NH_3)_3$	31861	25748±50	57609±50	-5546
2-propenylphenol(NH ₃) ₄	31861	25228±50	57089±50	-6066
2-propylphenol	35953@	30200±3	66153±3	0
2-propylphenol(H ₂ O) ₁	35607	26380±50	61987±50	-4166
11	35871	26210±50	62081±50	-4072
2 -propylphenol $(H_2O)_2$	36092	27255±50	63347±50	-2806

^{@:} The lowest energy origin

Table III

Conformational energies for 2-allylphenol calculated with MOPAC5/PM3

τ ₃ (degree)	τ ₁ (degree)	τ_2	(degree)	ΔH_f (kcal/mol)
178	75	134	-10.4	
169	-113	-127	-10.2	
176	81	-133	-10.2	
174	-38	-141	-9.88	
165	-102	-97	-9.74	
163	-113	120	-9.72	
177	81	0	-9.30	
179	65	-69	-9.19	
167	-111	17	-8.91	
3	95	-130	-8.62	
1	84	134	-8.51	
3	-13	-149	-8.44	
3 3	26	131	-8.32	
Ĩ	89	-7	-7.52	
Ō	ī	70	-7.37	

Definition of τ_1 , τ_2 , τ_3 :

$$\tau_1 = \text{angle}(C_2 - C_3 - C_{\alpha} - C_{\beta}), \ \tau_2 = \text{angle}(C_2 - C_{\alpha} - C_{\beta} - C_{\gamma}), \ \tau_3 = \text{angle}(C_6 - C_1 - O - H)$$
 Angles increase in the counterclockwise direction.

Table IV

Results of the excitation and the threshold ionization spectra for 2-propenylphenol

Excitation Frequency (Vex, cm ⁻¹)	$I \leftarrow S_1$ onset Or (v_{ion} , cm^{-1})	set width v (cm ⁻¹)	/ex+vion (cm ⁻¹)	Excitation peak Assignment
32725 (peak A)	30430±3	14	63155±3	origin I
32912 (peak B)	30420±3	16	63332±3	origin I + v_a (187 cm ⁻¹)
33008 (peak C)	30432±10	120	63440±10	origin I + v_b (283 cm ⁻¹)
33491 (peak D)	29664±3	13	63155±3	origin I + v_c (766 cm ⁻¹)
34004 (peak E)	30420±20	250	64424±20	origin I + v_d (1279cm ⁻¹)

Table V

Conformational energies for 2-propenylphenol calculated with MOPAC5/PM3.

isomer	τ ₃ (degree)	τ _l (degree)	ΔH _f (kcal/mol)
syn	177 173 0 0 0 8	110 32 67 34 91 130	-14.0 -13.8 -12.5 -12.2 -12.1 -9.7
anti	180 179 0 178 2 1	1 117 0 143 35 121 176	-16.3 -15.2 -14.5 -14.3 -14.1 -13.0 -12.5

Definition of τ_1 , τ_3 :

 τ_1 = angle(C₂-C₃-C_{α}-C_{β}), τ_3 = angle(C₆-C₁-O-H), see Table III for numbering. Angles are increasing with counterclockwise direction.

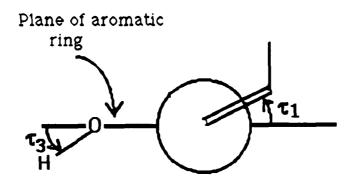


Table VI

Results of the excitation and the threshold ionization spectra for 2-propylphenol

Excitation Frequency (vex, cm ⁻¹)	$I \leftarrow S_1 \text{ onset } O$ (v_{ion}, cm^{-1})	nset width (cm ⁻¹		Excitation peak Assignment
35953 (peak I)	30200±3	17	66153±2	origin I
35999 (peak A)	30208±20	110	66207±20	origin I + 46 cm ⁻¹
36049 (peak II)	29953±3	17	66002±3	origin II
36189 (peak B)	29954±2	17	66143±3	? (a new origin or origin II + 140 cm ⁻¹)
36199 (peak III)	30001±2	18	66200±2	origin III
36226 (peak C)	29916±3	14	66142±3	? (a new origin or peak B + 47 cm ⁻¹)
36263 (peak D)	29950±20	80	66213±20	a vibration
36273 (peak IV)	29825±2	13	66098±2	origin IV
36288 (peak E)	29827±20	110	66115±20	origin IV + 15 cm ⁻¹
36239 (peak V)	29722±2	15	66051±2	origin V

Table VII

Conformational energies for 2-propylphenol calculated with MOPAC5/PM3.

(degree)	τ ₁ (degree)	τ2 (degree)	ΔH_f (kcal/mol)
75	85	181	-41.0
181	76	72	-40.4
	88	-75	-40.1
		111	-39.8
2			-39.1
2			-38.5
)	75	75	-38.2
	.75 .81 .75 .92	175 85 181 76 175 88 192 100 2 83 2 95	175 85 181 181 76 72 175 88 -75 192 100 111 2 83 181 2 95 -75

Figure Captions:

- Figure 1 2-color MRES of 2-allylphenol: a. Peak intensities are calibrated with dye curves of the excitation laser. Frequency of ionizing laser (v_{ion}) is 29800 cm⁻¹; b. 2-color MRES of 2-allylphenol-d₁ for comparison with (a).
- Figure 2 $I \leftarrow S_1$ threshold photoionization spectrum of 2-allylphenol when the peak A is excited.
- Figure 3 2-color MRES of (a) 2-allylphenol(H₂O)₁ and (b) 2-allylphenol(H₂O)₂. Peak intensities are calibrated with dye curves of the excitation laser. Energy of the ionizing laser (v_{ion}) is 30650 cm⁻¹.
- Figure 4 The dispersed fluorescence emission spectra for a) 2-allylphenol, b) 2-allylphenol(NH₃)_n, c) 2-propenylphenol, d) 2-propenylphenol(NH₃)_n. The excitation energies are also indicated, but the shapes of the spectra do not change upon changes in the excitation energy. The red-shifted emission is obvious in the (NH₃)_n clusters. The spectra of (H₂O)_n clusters are similar to the corresponding bare molecule spectrum in terms of wavelength distribution.

Filter Transmission -

- 1) UV22-20% @ 200nm, 40% @ 220nm, 62% @ 240nm, 76% @ 260nm, 86% @ 280nm, 90% @ >300 nm.
- 2) UV28-0% @ <245nm, 7% @ 260nm, 44% @ 280nm, 77% @ 300nm, 87% @ 320nm, 90% @ >340nm.
- 3) UV30-0% <280nm, 38% @ 300nm, 83% @ 320nm, 90% >350nm.
- 4) UV32-0% <315nm, 2.5% @ 320nm, 52% @ 340nm, 80% @ 360nm, 84% @ 380nm, 87% @ >400 nm.
- 5) UV36-0% <340nm, 47% @ 360nm, 74% @ 380nm, 83% @ 400nm, 86% @ >420nm.
- 6) L38-0% <355nm, 47% @ 380nm, 78% @ 400nm, 86% @ 420nm, 90% @ >460nm.

- 7) L40-0% @ <370nm, 7% @ 380nm, 44% @ 400nm, 71% @ 420nm, 82% @ 400nm, 85% @ 460nm, 87% @ 480nm, 88% >500nm.
- 8) L42-0% <490nm, 44% @ 420nm, 83% @ 440nm, 87% >460nm.

Filters used for:

- a. 2-allylphenol, 2-allylphenol(H₂O)_n, 2-allylphenol(NH₃)_n, 2-propylphenol(H₂O)_n– UV22, UV28, UV30, UV32, UV34, UV36, L38.
- b. 2-propenylphenol, 2-propenylphenol(H₂O)_n, 2-propenylphenol(NH₃)_n- UV30, UV32,
 UV36, L38, L40, L42.
- Figure 5 The three most stable conformations of 2-allylphenol calculated with MOPAC 5/PM3.
- Figure 6 2-color MRES of 2-propenylphenol. Peak intensities are calibrated with dye curves of the excitation laser. Energy of ionizing laser (vion) is 31380 cm⁻¹.
- Figure 7 I \leftarrow S₁ threshold photoionization spectra of 2-propenylphenol. Excitation energy is written beside each spectrum.
- Figure 8a,b: 2-color MRES of 2-propenylphenol(H₂O)₁ and (H₂O)₂. Peak intensities are calibrated with dye curves of the excitation laser. Energy of ionizing laser (v_{ion}) is 29000 cm⁻¹.
 - c,d,e: 2-color MRES of 2-propenylphenol(NH₃)_{1,2,3}. Peak intensities are calibrated with dye curves of the excitation laser. Energy of the ionizing laser (v_{ion}) is 27700 cm⁻¹. The spectra for higher clusters are featureless and broad.
- Figure 9 The most stable geometry of anti-2-propenylphenol (a) and the two most stable conformations of syn-2-propenylphenol (b,c).
- Figure 10 2-color MRES of 2-propylphenol. Peak intensities are calibrated with dye curves of the excitation laser. Energy of ionizing laser (vion) is 31600 cm⁻¹.
- Figure 11 $I \leftarrow S_1$ threshold photoionization spectra of 2-propylphenol. Excitation energy is written beside each spectrum.

- Figure 12a,b 2-color MRES of 2-propylphenol(H₂O)₁ and (H₂O)₂. Peak intensities are calibrated with dye curves of the excitation laser. Energy of ionizing laser (v_{ion}) is 29960 cm⁻¹.
 - c 1-color MRES of 2-propylphenol(NH₃)₁. Peak intensities are calibrated with dye curves of the excitation laser. The spectra for higher clusters are featureless and broad.

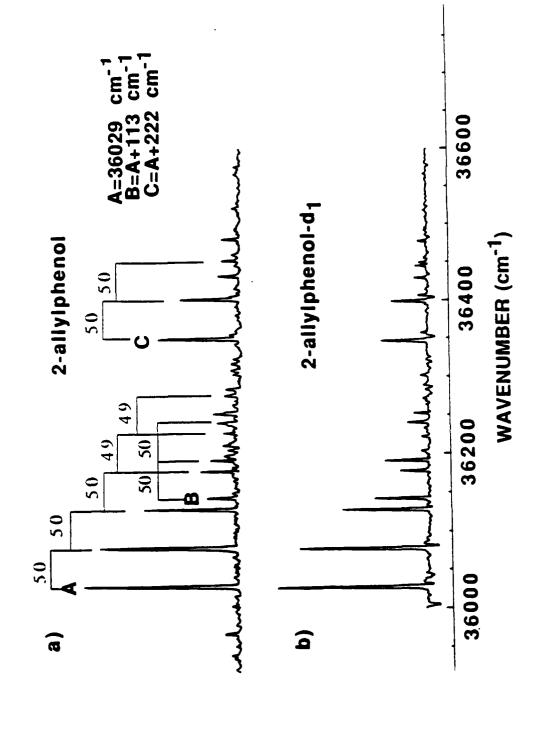


Figure 1

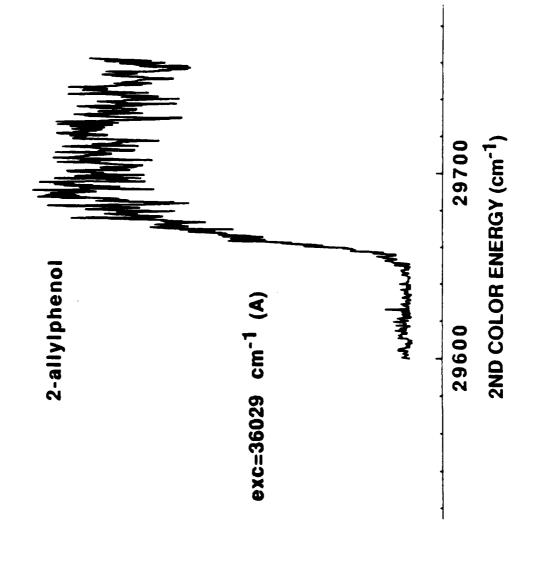
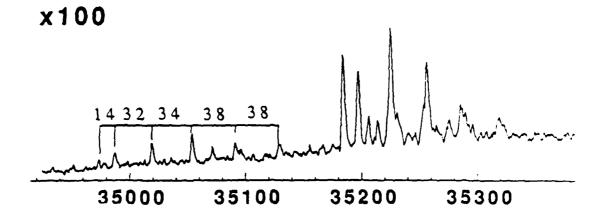
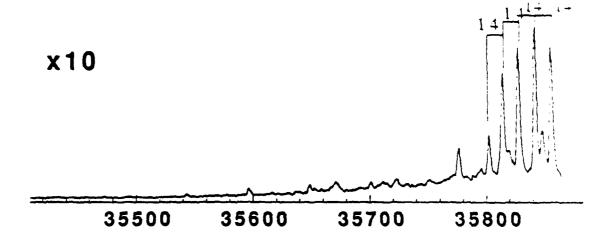


Figure 2

a)





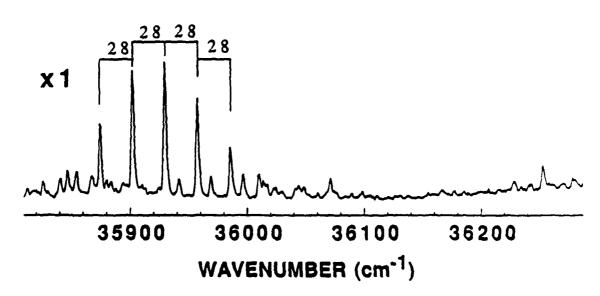
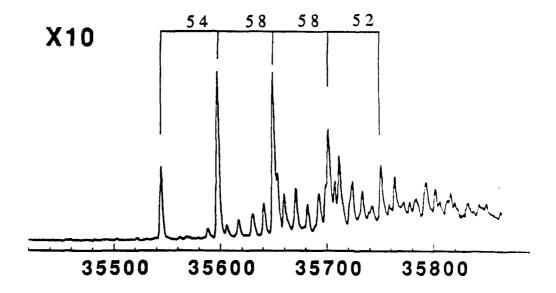


Figure 3a



b)



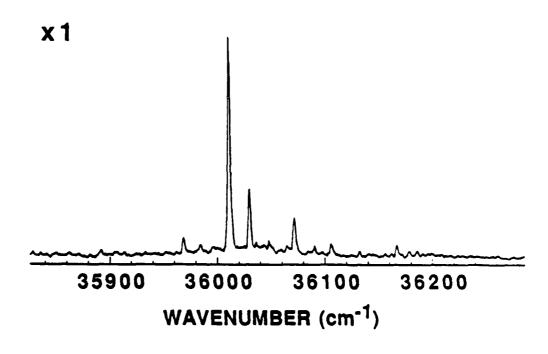


Figure 3b

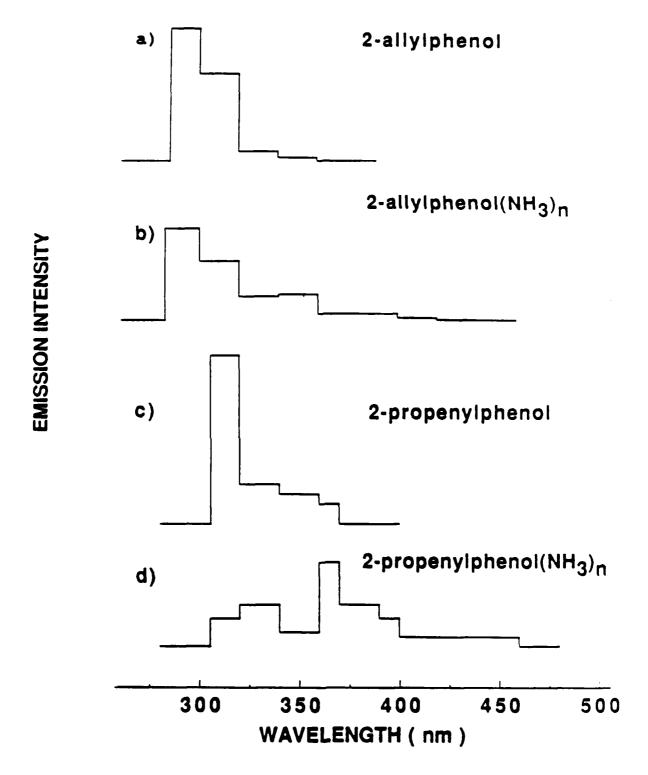
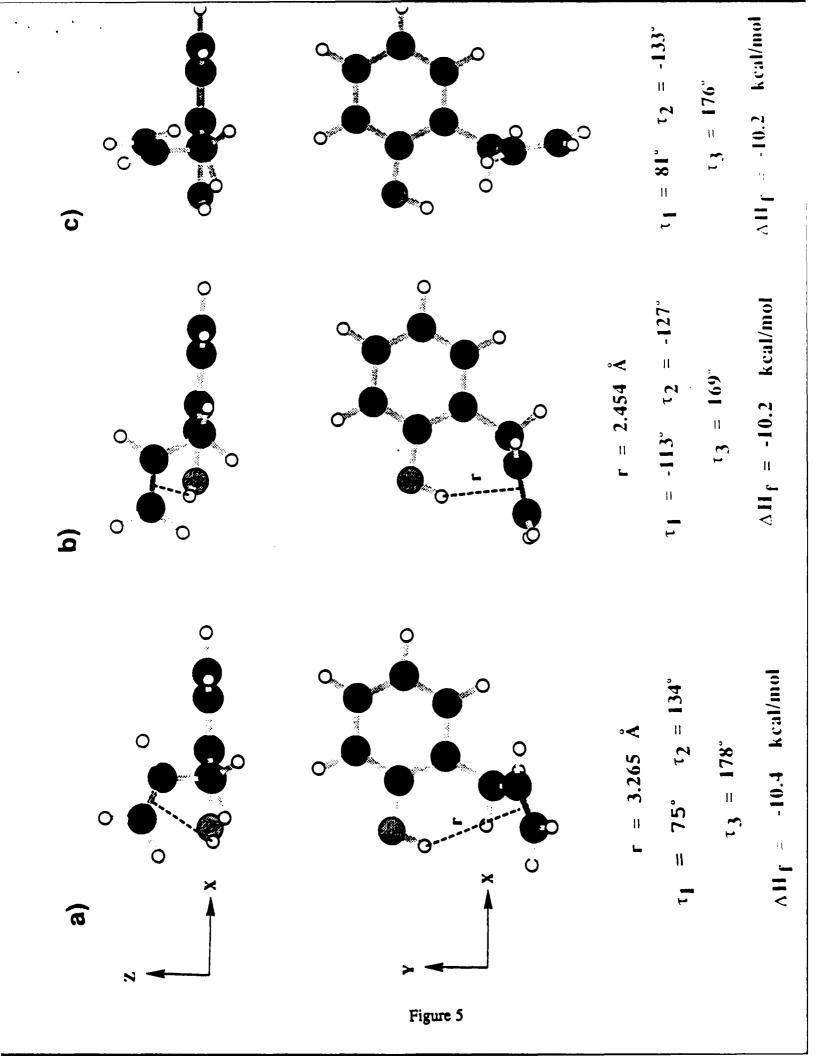
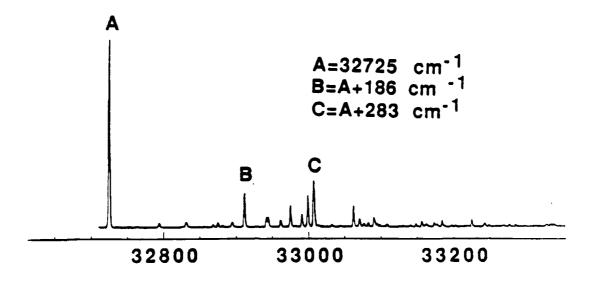
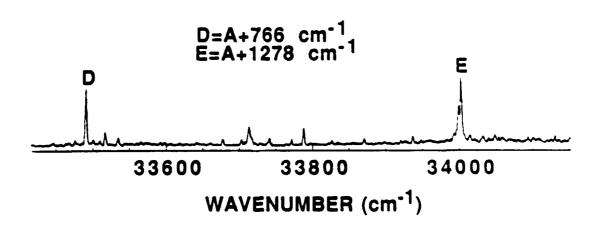


Figure 4



2-propenylphenol





2-propenylphenol

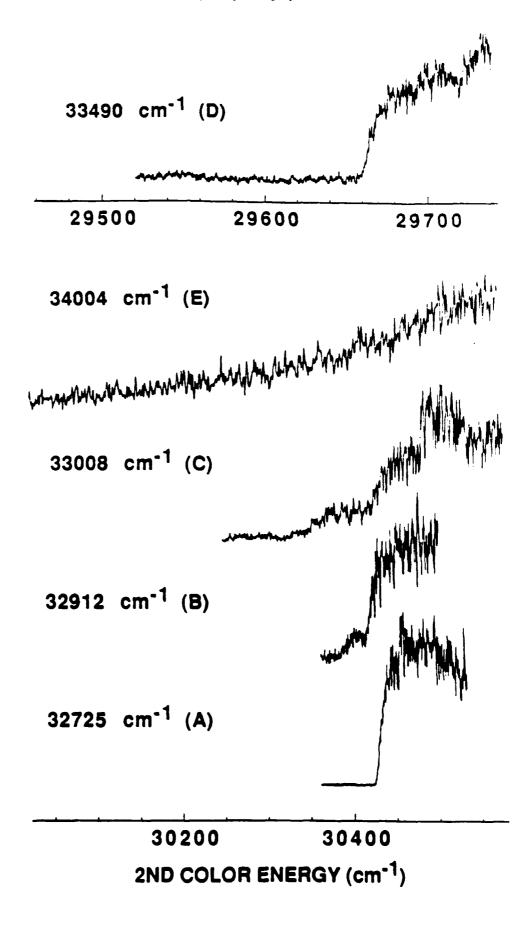


Figure 7

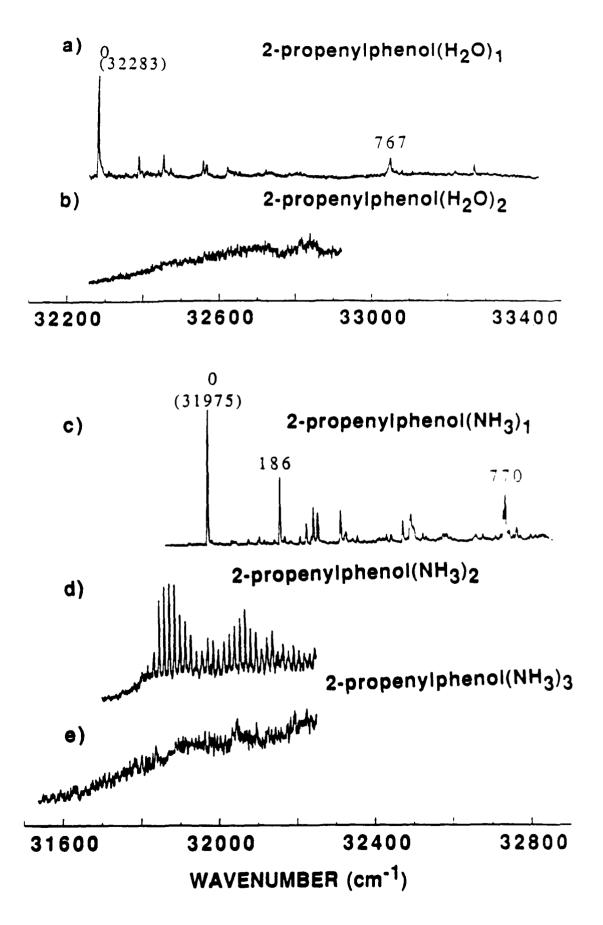
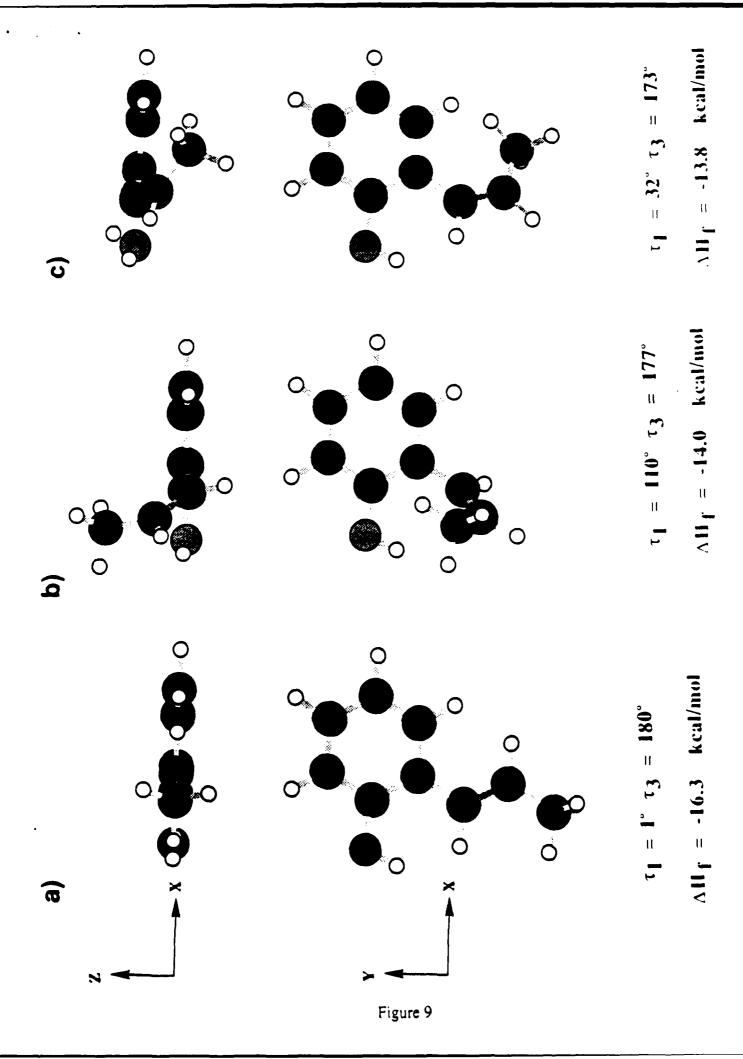


Figure 8



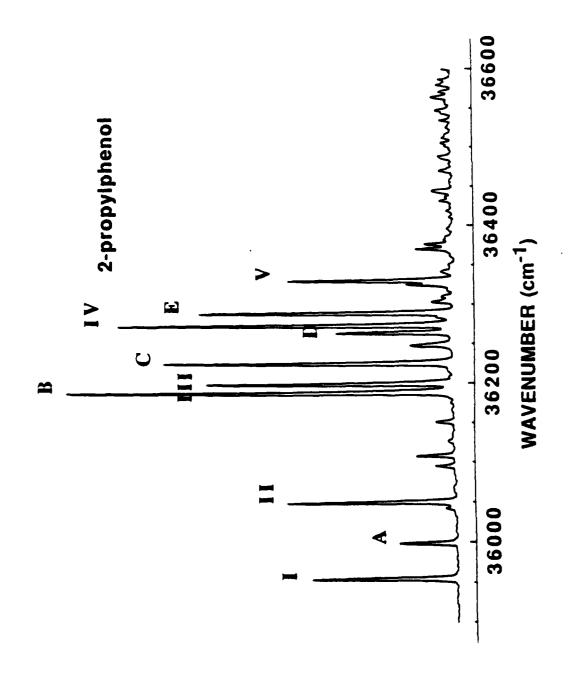


Figure 10

2-propyiphenol

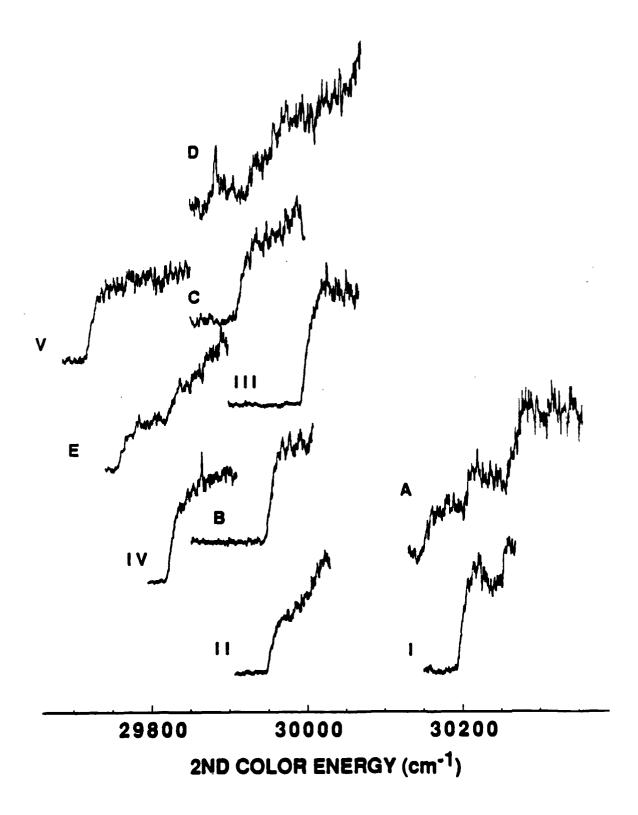


Figure 11

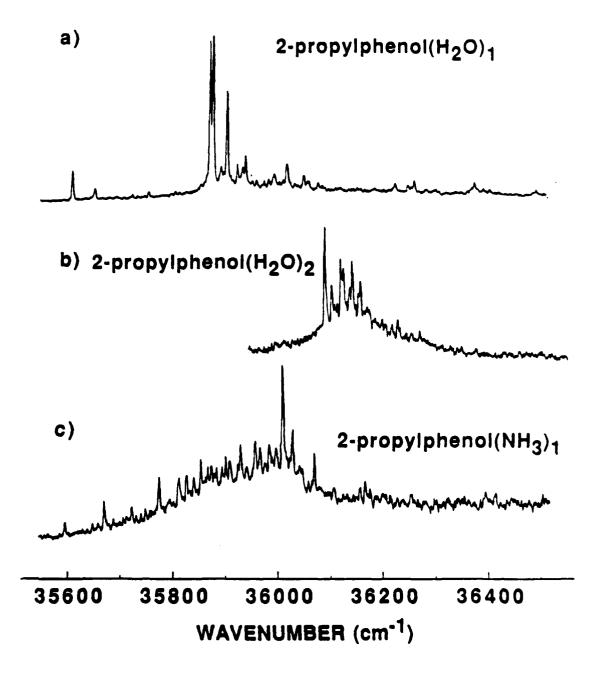


Figure 12